

**TRANSMITTAL  
FORM**



Application Serial Number	09/697,483
Filing Date	February 14, 2001
First Named Inventor	Dakss
Group Art Unit	2613
Examiner Name	Not Yet Assigned
Attorney Docket No.	WMI-004CN3 (8415/5)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

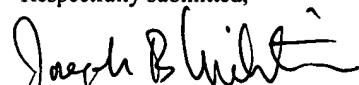
**ENCLOSURES (check all that apply)**

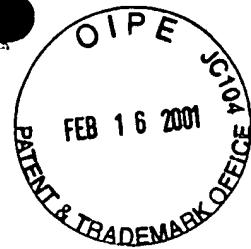
<input checked="" type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul> <input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings</li> <li>[Total Sheets _____]</li> </ul> <input type="checkbox"/> Petition for Extension of Time	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
	<input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts
	<input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <ul style="list-style-type: none"> <li><input type="checkbox"/> Certificate of Correction (in duplicate)</li> </ul>	

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,  
  
 Joseph B. Milstein  
 Atty/Agent for Applicant(s)  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



#3  
SECTOR 1/8

PATENT  
Attorney Docket No. WMI-004CN3 (8415/5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Dakss

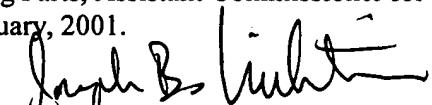
SERIAL NO.: 09/697,483 GROUP NO.: 2613

FILING DATE: February 14, 2001 EXAMINER: Not Yet Assigned

TITLE: A Method and Apparatus for Encoding Video Hyperlinks

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on this 14th day of February, 2001.

  
Joseph B. Milstein

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Fee Transmittal (1 page);
- (3) Check in the amount of \$540.00;
- (4) Executed Declaration and Power of Attorney (3 pages);
- (5) Executed Small Entity Statement (1 page);
- (6) Response to Notice to File Missing Parts (1 page);
- (7) Copy of Notice to File Missing Parts of Application (1 page); and
- (8) a return receipt postcard.

MILSTEIN\8415\5.2011914\_1

**FEE TRANSMITTAL**  
**FY 2001**

<i>Complete if Known</i>	
Application Serial Number	09/697,483
Filing Date	February 14, 2001
First Named Inventor	Dakss
Group Art Unit	2613
Examiner Name	Not Yet Assigned
Attorney Docket No.	WMI-004CN3 (8415/5)

FEB 16 2001  
U.S. PATENT & TRADEMARK OFFICE  
O P E R A T I O N S C O M M I S S I O N

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																				
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES																				
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.		Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description																		
3. <input checked="" type="checkbox"/> Applicant claims small entity status.		2,520	2,520	Fee Paid																		
<b>FEE CALCULATION</b>																						
1. FILING FEE																						
<table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee (\$)</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>710</td> <td>Utility filing fee</td> <td>710.00</td> <td></td> </tr> <tr> <td>320</td> <td>Design filing fee</td> <td></td> <td></td> </tr> <tr> <td>150</td> <td>Provisional filing fee</td> <td></td> <td></td> </tr> </tbody> </table>					Large Entity		Fee Description	Fee Paid	Fee (\$)	Fee (\$)	710	Utility filing fee	710.00		320	Design filing fee			150	Provisional filing fee		
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<table border="1"> <thead> <tr> <th>Number Filed</th> <th>Number Extra</th> <th>Rate</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>20</td> <td>- 20 = 0</td> <td>x \$ 18.00 = 0.00</td> </tr> </tbody> </table>					Number Filed	Number Extra	Rate	Amount	Total Claims	20	- 20 = 0	x \$ 18.00 = 0.00										
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Total Claims	20	- 20 = 0	x \$ 18.00 = 0.00																			
<table border="1"> <thead> <tr> <th>Independent Claims</th> <th>6</th> <th>- 3 = 3</th> <th>x \$ 80.00 = 240.00</th> </tr> </thead> </table>					Independent Claims	6	- 3 = 3	x \$ 80.00 = 240.00														
Independent Claims	6	- 3 = 3	x \$ 80.00 = 240.00																			
<input type="checkbox"/> Multiple Dependent Claim(s), if any		\$270.00 =																				
		TOTAL: 950.00																				
		SMALL ENTITY DISCOUNT: 475.00																				
		SUBTOTAL (1) (\$ 475.00)																				
2. AMENDMENT CLAIM FEES																						
Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid																		
Total	-	=	x \$ 18.00 =																			
Indep.	-	=	x \$ 80.00 =																			
<input type="checkbox"/> First Presentation of Multiple Dep. Claim		+ \$270.00 =																				
		TOTAL: (\$)																				
		SMALL ENTITY DISCOUNT: (\$)																				
		SUBTOTAL (2) (\$)																				
SUBTOTAL (3) (\$ 65.00)																						
SUBTOTAL (1) 475.00																						
SUBTOTAL (2) 65.00																						
SUBTOTAL (3) 65.00																						
TOTAL (\$ 540.00)																						
<b>CORRESPONDENCE ADDRESS</b>		<b>SIGNATURE BLOCK</b>																				
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		<p align="right">Respectfully submitted, <i>Joseph B. Milstein</i> Joseph B. Milstein Attorney for the Applicants Testa, Hurwitz &amp; Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110</p>																				



**PATENT**  
Attorney Docket No. WMI-004CN3  
(8415/5)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Dakss  
SERIAL NO.: 09/697,483 GROUP NO.: 2613  
FILING DATE: February 14, 2001 EXAMINER: Not yet assigned  
TITLE: A Method and Apparatus for Encoding Video Hyperlinks

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts mailed December 28, 2000, enclosed is an executed Declaration and Power of Attorney and a Statement Claiming Small Entity Status. The amount of \$540.00 is enclosed for payment of the basic filing fee and the surcharge for a small entity in compliance with 37 C.F.R. 1.27.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Joseph B. Milstein  
Attorney/Agent for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: February 14, 2001  
Reg. No. 42,897

Tel. No. (617) 248-7695  
Fax: (617) 248-7100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/697,483	10/26/2000	Jon Dakss	WMI-004CN3

021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110



## FORMALITIES LETTER



\*OC000000005643273\*

Date Mailed: 12/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1080.**

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355.00	
65.00	
120.00	

*A copy of this notice MUST be returned with the reply.*

*Sleni Nodage*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

